

# Some Homological Algebra, the Mayer-Vietoris Sequence, Computations and Classical Applications of deRham Cohomology

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## 1. cochain Complexes and Cohomology

### 1.1. cochain Complexes

**Definition 1.** A **cochain complex** is a sequence of vector spaces  $\{C^k\}_{k \in \mathbb{Z}}$  together with a sequence of linear maps  $d_k : C^k \rightarrow C^{k+1}$  s.t.  $d_{k+1} \circ d_k = 0$  (i.e.  $\text{im } d_k \subseteq \ker d_{k+1}$ ) for every  $k \in \mathbb{Z}$ . The subscript for  $d$  will often be dropped and we call  $d$  the **differential** or **boundary operator** of the cochain complex.

**Example 1.** For a smooth manifold  $M$ , the sequence of vector spaces given by  $C^k = \Omega^k(M)$  and with  $d_k : \Omega^k(M) \rightarrow \Omega^{k+1}(M)$  being the exterior derivative, is a cochain complex.

**Definition 2.** (i) A sequence of linear maps

$$A \xrightarrow{f} B \xrightarrow{g} C \quad (1)$$

is said to be **exact at  $B$**  if  $\text{im } f = \ker g$ .

(ii) A sequence of linear maps

$$A^0 \xrightarrow{f_0} A^1 \xrightarrow{f_1} A^2 \xrightarrow{f_2} \dots \xrightarrow{f_{n-1}} A^n \quad (2)$$

is said to be **exact** if it is exact at every  $A^k$  for  $k \neq 0, n$ .

(iii) A sequence of five linear maps of the form

$$0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0 \quad (3)$$

is called a **short exact sequence**.

*Remark.* (i) For a short exact sequence, as above,  $f$  is injective and  $g$  is surjective.

(ii) The sequence  $0 \xrightarrow{f} B \xrightarrow{g} C$  is exact if and only if  $g$  is injective.

(iii) The sequence  $A \xrightarrow{f} B \xrightarrow{g} 0$  is exact if and only if  $f$  is surjective.

## 1.2. Cohomology of a cochain complex

Element in ...	are generally called ...	and in deRham cohomology called ...
$C^k$	$k$ -cochains	$k$ -form
$\ker d_k$	$k$ -cocycle	closed $k$ -form
$\operatorname{im} d_{k-1}$	$k$ -coboundary	exact $k$ -form

**Definition 3.** Let  $\mathcal{C} := (\{C^k\}_{k \in \mathbb{Z}}, \{d_k\}_{k \in \mathbb{Z}})$  be a cochain complex. Then the quotient vector space

$$H^k(\mathcal{C}) := \underbrace{\ker d_k}_{=: Z^k(\mathcal{C})} / \underbrace{\operatorname{im} d_{k-1}}_{=: B^k(\mathcal{C})} \quad (4)$$

is called the  $k$ -th cohomology vector space of  $\mathcal{C}$ . The equivalence class  $[c] \in H^k(\mathcal{C})$  of a cocycle  $c \in \ker d_k$  is called its **cohomology class**.

*Remark.* The cohomology  $H^k$  of a cochain complex is a measure for the failure of  $\mathcal{C}$  to be exact at  $C^k$ .

**Definition 4.** Let  $\mathcal{A}, \mathcal{B}$  be two cochain complexes with differentials  $d, d'$ . A **cochain map** is a sequence  $\{\varphi_k\}_{k \in \mathbb{Z}}$  of linear maps  $\varphi_k : A^k \rightarrow B^k$  s.t.  $d'_k \circ \varphi_k = \varphi_{k+1} \circ d_k$  for every  $k \in \mathbb{Z}$ . As with the differential, we will drop the subscript of  $\varphi$  when it is clear from the context.

*Remark.* A cochain map  $\varphi$  induces a well-defined linear map  $\varphi : H^k(\mathcal{A}) \rightarrow H^k(\mathcal{B})$  between the cohomology vector spaces of  $\mathcal{A}$  and  $\mathcal{B}$  via  $\varphi[a] = [\varphi(a)]$ . To see this, let  $[a] \in H^k(\mathcal{A})$  i.e. let  $a \in \ker d_k$ . Then

$$d'_k(\varphi_k(a)) = \varphi_{k+1}(d_k(a)) = \varphi_{k+1}(0) = 0 \quad (5)$$

Hence  $\varphi_k : \ker d_k \rightarrow \ker d'_k$ . To show that it is well-defined, let  $[a] = 0$  in  $H^k(\mathcal{A})$ . Then  $a \in \operatorname{im} d_{k-1}$  i.e.  $\exists b \in A^{k-1} : d_{k-1}(b) = a$ . Hence

$$\varphi_k(d_{k-1}(b)) = d'_{k-1}(\varphi_{k-1}(b)) \in \operatorname{im} d'_{k-1}, \quad (6)$$

and thus  $[\varphi(a)] = 0$  in  $H^k(\mathcal{B})$ .

**Example 2.** Let  $F : N \rightarrow M$  be a smooth map. Then  $F^* : \Omega^k(M) \rightarrow \Omega^k(N)$  commutes with the differential and therefore induces a map on cohomology.

## 1.3. Connecting Homomorphism

**Definition 5.** A sequence of cochain complexes

$$0 \rightarrow \mathcal{A} \xrightarrow{i} \mathcal{B} \xrightarrow{j} \mathcal{C} \rightarrow 0 \quad (7)$$

is called **short exact** if  $i$  and  $j$  are cochain maps and for every  $k \in \mathbb{Z}$  the sequence

$$0 \rightarrow A^k \xrightarrow{i} B^k \xrightarrow{j} C^k \rightarrow 0 \quad (8)$$

is short exact.

Given the data of a short exact sequence of cochain complexes, one can construct the following linear map  $\delta^* : H^k(\mathcal{C}) \rightarrow H^{k+1}(\mathcal{A})$ , called the **connecting homomorphism**. Its purpose will become clear via the zig-zag-Lemma, Lemma 1.

Let  $k \in \mathbb{Z}$  be arbitrary and consider the following diagram:

$$\begin{array}{ccccccccc}
 0 & \longrightarrow & A^{k+1} & \xrightarrow{i} & B^{k+1} & \xrightarrow{j} & C^{k+1} & \longrightarrow & 0 \\
 & & \uparrow d & & \uparrow d & & \uparrow d & & \\
 0 & \longrightarrow & A^k & \xrightarrow{i} & B^k & \xrightarrow{j} & C^k & \longrightarrow & 0 \\
 & & \uparrow d & & \uparrow d & & \uparrow d & & \\
 0 & \longrightarrow & A^{k-1} & \xrightarrow{i} & B^{k-1} & \xrightarrow{j} & C^{k-1} & \longrightarrow & 0
 \end{array}$$

Now consider the following steps:

- Let  $[c]$  be an arbitrary element in  $H^k(\mathcal{C})$ . That is, let  $c \in C^k \cap \ker d$
- Since the middle sequence in the above diagram is exact at  $C^k$ , the map  $j$  is surjective and there exists a  $b \in B^k$  s.t.  $j(b) = c$ .
- Apply  $d$  to  $b$  to obtain  $db \in B^{k+1}$ .
- Since  $j$  is a chain map and  $c \in \ker d$  we have  $j(db) = d(j(b)) = d(c) = 0$ . Hence  $db \in \ker j$  and thus, since the upper sequence is exact at  $B^{k+1}$ , the element  $db$  lies in the image of  $i$ .
- Hence there exists an element  $a \in A^{k+1}$  s.t.  $i(a) = db$ .
- In order to see that  $a \in A^{k+1} \cap \ker d$ , note that  $i(da) = d(i(a)) = d(db) = 0$ . Since the upper sequence is exact at  $A^{k+1}$ , the map  $i$  is injective and thus  $da = 0$ .

We define the connecting homomorphism  $\delta^* : H^k(\mathcal{C}) \rightarrow H^{k+1}(\mathcal{A})$  via  $\delta^*[c] = [a]$ . The above is summarized in the following diagram

$$\begin{array}{ccc}
 a & \xrightarrow{i} & db \\
 & & \uparrow d \\
 & & b \xrightarrow{j} c
 \end{array}$$

or as  $\delta^* = i^{-1} \circ d \circ j^{-1}$ , where  $i^{-1}$  and  $j^{-1}$  is to be understood as choosing one element in the pre-image. By tracing through the argument with another  $c' \in C^k \cap \ker d$  as well as  $c + c'$ , we note that this map is linear. In order to show that it is well defined i.e. that  $[a]$  depends neither on the choice of representative

$c$  of  $[c]$ , nor on the choice of pre-image  $b$ , under the map  $j$ , of that representative  $c$  (note that the choice of pre-image of  $db$  was unique since  $i$  is injective), we argue as follows:

Let  $c' \in C^k \cap \ker d$  be another representative of  $[c]$ . Then we want show that  $a - a'$  is a coboundary i.e. that there is a  $z \in A^k$  s.t.  $a - a' = dz$ .

- Since  $c - c'$  represents  $[0]$  there is a  $x \in C^{k-1}$  s.t.  $c - c' = dx$ .
- Since the sequence is exact at  $C^{k-1}$ , the map  $j$  is surjective and thus there exists a  $y \in B^{k-1}$  s.t.  $j(y) = x$ .
- Note that the element  $u := (b - b') - dy \in B^k$  lies in  $\ker j$  since

$$j(dy - (b - b')) = j(dy) - j(b - b') = dx - (c - c') = 0. \quad (9)$$

- Thus, by exactness at  $B^k$ , there is a  $z \in A^k$  s.t.  $i(z) = u$ .
- Finally,

$$i(dz - (a - a')) = d((b - b') - dy) - i(a - a') = d(b - b') - i(a - a') = 0 \quad (10)$$

since  $a - a'$  was chosen to lie in the  $i$ -pre-image of  $d(b - b')$ .

- Thus, by the injectivity of  $i$ , we conclude that  $dz = a - a'$ .

To show well-definedness with respect to the choice of pre-image of  $c$ , let  $b'$  be another element in the pre-image of  $c \in C^k$ . Then  $j(b - b') = c - c = 0$  and hence there exists a  $u \in A^k$  such that  $i(u) = b - b'$ . But now

$$i(du) = d(i(u)) = d(b - b'). \quad (11)$$

Thus, since  $i$  is injective  $du = c - c'$  and  $c - c'$  is a coboundary and  $\delta^*$  does not depend on the choice of pre-image of  $c$ .

**Theorem 1.** (*Zig-Zag-Lemma*) *Let*

$$0 \rightarrow \mathcal{A} \xrightarrow{i} \mathcal{B} \xrightarrow{j} \mathcal{C} \rightarrow 0 \quad (12)$$

*be a short exact sequence of cochain complexes. Then the sequence*

$$\begin{array}{ccccccc} H^{k+1}(\mathcal{A}) & \xrightarrow{i^*} & H^{k+1}(\mathcal{B}) & \xrightarrow{j^*} & \dots & & \\ & & & \searrow \delta^* & & & \\ H^k(\mathcal{A}) & \xrightarrow{i^*} & H^k(\mathcal{B}) & \xrightarrow{j^*} & H^k(\mathcal{C}) & & \\ & & & \searrow \delta^* & & & \\ \dots & \xrightarrow{i^*} & H^{k-1}(\mathcal{B}) & \xrightarrow{j^*} & H^{k-1}(\mathcal{C}) & & \end{array}$$

is long exact.

*Proof.* Let  $k \in \mathbb{Z}$  be arbitrary.

- Exactness at  $H^k(\mathcal{A})$  i.e.  $\text{im } \delta^* = \ker i^*$

" $\subseteq$ ": Let  $\delta^*[c] \in H^k(\mathcal{A})$ . Then as in the construction of  $\delta^*$  a representative of the class  $\delta^*[c]$  is given by the pre-image under  $i$  of  $db$ . Thus  $i^*\delta^*[c] = [i(\delta^*(c))] = [db] = 0$ .

" $\supseteq$ ": Let  $i^*[a] = 0$ . That is, let  $i(a)$  be a coboundary in  $B^k$ . Then there is a  $b \in B^{k-1}$  s.t.  $i(a) = db$ . Applying  $j$  to this  $b$  gives  $j(b) \in C^{k-1}$ . Tracing back the steps in the opposite direction shows that applying  $\delta^*$  to  $[j(b)]$  gives  $[a]$ .

- Exactness at  $H^k(\mathcal{B})$  i.e.  $\text{im } i^* = \ker j^*$

" $\subseteq$ ": Let  $i^*[a] \in H^k(\mathcal{B})$ . Then  $j^*(i^*[a]) = j^*[i(a)] = [j(i(a))] = [0]$ , since (12) is exact at  $B^k$ .

" $\supseteq$ ": Let  $[b] \in \ker j^*$ . Then  $j^*[b] = [j(b)] = 0$ . Hence  $j(b) = dc$  for some  $c \in C^{k-1}$ . Since  $j$  is surjective, there is a  $b' \in B^{k-1}$  s.t.  $j(b') = c$  and thus  $j(b - db') = j(b) - dj(b') = 0$ . Hence there is a  $a \in A^k$  s.t.  $i(a) = b - db'$ . Thus  $i^*[a] = [i(a)] = [b - db'] = [b]$ , showing that  $[b] \in \text{im } i^*$ .

- Exactness at  $H^k(\mathcal{C})$  i.e.  $\text{im } j^* = \ker \delta^*$

" $\subseteq$ ": Let  $[b] \in H^k(\mathcal{B})$ . Then by definition  $\delta^*j^*[b] = \delta^*[j(b)]$ . Now we trace the element  $[j(b)]$  through the machinery for  $\delta^*$ :

We may pick  $b$  as the pre-image of  $j(b)$  in  $B^k$ . Since  $[b] \in H^k(\mathcal{B})$ , the element  $b$  is a cocycle and thus  $db = 0$ . Hence  $db = i(0)$  and thus by the injectivity of  $i$  we conclude  $\delta^*[j(b)] = [0]$ .

" $\supseteq$ ": Let  $\delta^*[c] = [a] = 0 \in H^k(\mathcal{A})$ . Then  $a = da'$  with  $a' \in A^{k-1}$ . On the other hand we may trace back  $a$  along the path of  $\delta^*$  to an element  $c \in C^{k-1}$  as

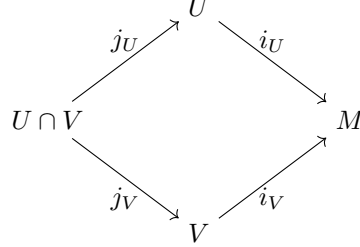
$$\begin{array}{ccc}
 a & \xrightarrow{i} & db \\
 \uparrow d & & \uparrow d \\
 a' & & b \xrightarrow{j} c
 \end{array}$$

But now  $b - i(a')$  is a coboundary since  $d(b - i(a')) = db - i(da') = 0$  and also  $j(b - i(a')) = j(b) - j(i(a')) = c - 0 = c$  by the exactness at  $B^{k-1}$ .

□

## 1.4. Mayer-Vietoris Sequence

Let  $M$  be a manifold and let  $\{U, V\}$  be an open cover of  $M$  with the following inclusion maps, forming a commutative diagram of manifolds.



For every  $k \in \mathbb{Z}$ , the above maps induce the sequence

$$0 \rightarrow \Omega^k(M) \xrightarrow{i} \Omega^k(U) \oplus \Omega^k(V) \xrightarrow{j} \Omega^k(U \cap V) \rightarrow 0 \quad (13)$$

defined via

$$i : \omega \mapsto (i_U^* \omega, i_V^* \omega) = (\omega|_U, \omega|_V), \quad \omega \in \Omega^k(M) \quad (14)$$

$$j : (\omega, \sigma) \mapsto j_U^* \omega - j_V^* \sigma = \omega|_{U \cap V} - \sigma|_{U \cap V}, \quad \omega \in \Omega^k(U) \oplus \Omega^k(V). \quad (15)$$

We call  $i$  the **restriction map** and  $j$  the **difference map**. Together with the respective boundary operator  $d$ , the three sequences of vector spaces (indexed by  $k$ ) form cochain complexes; in the case of  $\Omega^k(U) \oplus \Omega^k(V)$  this can be seen by noting that  $\Omega^k(U) \oplus \Omega^k(V) \cong \Omega^k(U \amalg V)$ , where  $\amalg$  denotes the disjoint union, and thus  $d(\omega, \sigma) = (d\omega, d\sigma)$ .

**Proposition 1.** *The maps  $i$  and  $j$  are cochain maps.*

*Proof.* Let  $k \in \mathbb{Z}$  be arbitrary. Let  $\omega \in \Omega^k(M)$  be arbitrary. Then

$$d(i(\omega)) = (d(i_U^* \omega), d(i_V^* \omega)) = (i_U^*(d\omega), i_V^*(d\omega)) = i(d(\omega)). \quad (16)$$

Let  $(\omega, \sigma) \in \Omega^k(U) \oplus \Omega^k(V)$  be arbitrary. Then

$$d(j(\omega, \sigma)) = d(j_U^* \omega - j_V^* \sigma) = j_U^*(d\omega) - j_V^*(d\sigma) = j(d(\omega, \sigma)). \quad (17)$$

□

**Proposition 2.** *For every  $k \in \mathbb{Z}$ , the sequence*

$$0 \rightarrow \Omega^k(M) \xrightarrow{i} \Omega^k(U) \oplus \Omega^k(V) \xrightarrow{j} \Omega^k(U \cap V) \rightarrow 0 \quad (18)$$

*is short exact.*

*Proof.* Exactness at  $\Omega^k(M)$  and  $\Omega^k(U) \oplus \Omega^k(V)$  are clear. For the exactness at  $\Omega^k(U \cap V)$  i.e. for the surjectivity of the difference map  $j$ , consider the following<sup>1</sup>:

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<sup>1</sup>It is generally not true that there exists a smooth extension of  $\omega \in \Omega^k(U \cap V)$  to  $U$  or  $V$ . So the naive idea of choosing such an extension  $\eta$  and defining  $j^{-1}(\omega) = (\eta, 0)$  does not work.

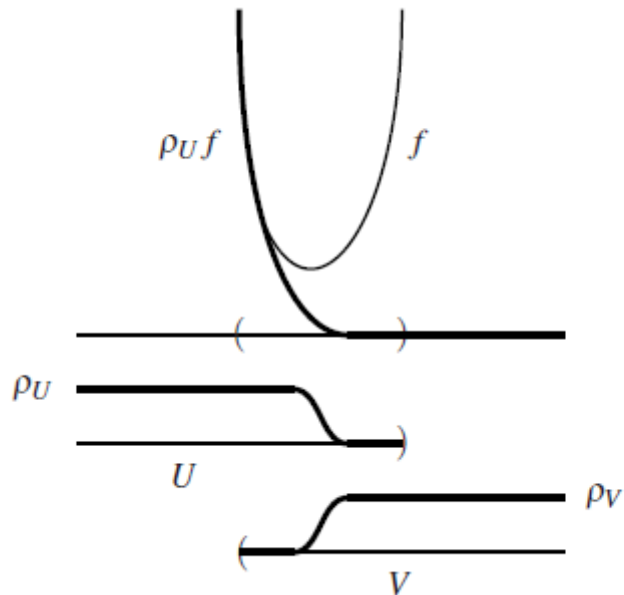


Figure 1: Rewriting a function  $f$  on  $U \cap V$  as a difference of functions on  $U$  and  $V$ . Fig. 26.1 [TuMf].

Let  $\omega \in \Omega^k(U \cap V)$  be arbitrary and let  $\{\rho_U, \rho_V\}$  be a partition of unity subordinate to the open cover  $\{U, V\}$ . Then define the forms

$$\eta_U := \begin{cases} \rho_V \omega, & \text{on } U \cap V \\ 0, & \text{on } U \setminus \text{supp } \rho_V \end{cases} \quad (19)$$

and

$$\eta_V := \begin{cases} \rho_U \omega, & \text{on } U \cap V \\ 0, & \text{on } V \setminus \text{supp } \rho_U. \end{cases} \quad (20)$$

To see that  $\eta_U$  defines a smooth  $k$ -form, note that the intersection  $(U \cap V) \cap (U \setminus \text{supp } \rho_V) = (U \cap V) \cap (\text{supp } \rho_V)^c$  is an open set, on which 0 and  $\rho_V \omega$  agree. Hence they can be glued to a smooth form. The same is true for  $\eta_V$ .

Now we have

$$j(\eta_U, -\eta_V) = \eta_U|_{U \cap V} + \eta_V|_{U \cap V} = \rho_U \omega + \rho_V \omega = \omega, \quad (21)$$

showing that  $j$  is surjective.  $\square$

Thus, as a result the Zig-Zag-Lemma applies and we obtain a long exact sequence in cohomology, called the Mayer-Vietoris sequence:

$$\begin{array}{ccccc}
H^{k+1}(M) & \xrightarrow{i^*} & H^{k+1}(U) \oplus H^{k+1}(V) & \xrightarrow{j^*} & \dots \\
& & \searrow \delta^* & & \\
H^k(M) & \xrightarrow{i^*} & H^k(U) \oplus H^k(V) & \xrightarrow{j^*} & H^k(U \cap V) \\
& & \searrow \delta^* & & \\
\dots & \xrightarrow{i^*} & H^{k-1}(U) \oplus H^{k-1}(V) & \xrightarrow{j^*} & H^{k-1}(U \cap V)
\end{array}$$

Let us see explicitly what the connecting homomorphism does here:

$$\begin{array}{ccc}
\alpha & \xrightarrow{i} & (d\zeta_U, -d\zeta_V) \\
& & \uparrow d \\
(\zeta_U, -\zeta_V) & \xrightarrow{j} & \zeta
\end{array}$$

1. Let  $\zeta$  be a closed  $k-1$ -form in  $U \cap V$  and let  $\{\rho_U, \rho_V\}$  be a partition of unity subordinate to  $\{U, V\}$ . Extend  $\rho_U \zeta$  by 0 to a  $k-1$ -form  $\zeta_U$  to all of  $V$  (same for  $U$ ). Choose  $(-\zeta_U, \zeta_V)$  in the pre-image of  $\zeta$  under  $j$ .
2. Apply  $d$  to obtain  $(-d\zeta_U, d\zeta_V)$ . Since  $\zeta$  is closed and  $j$  is a chain map  $j(-d\zeta_U, d\zeta_V) = dj(-\zeta_U, \zeta_V) = d\zeta = 0$ . Hence the difference of  $-d\zeta_U$  and  $d\zeta_V$  vanishes on  $U \cap V$  (even though of course  $\zeta_U + \zeta_V = \zeta$  which does not vanish in general.).
3. Hence  $-d\zeta_U$  and  $d\zeta_V$  can be glued to a global  $k$ -form, which is why  $(-d\zeta_U, d\zeta_V)$  has a pre-image  $\alpha$  under  $i$ . The form  $\alpha$  is both an extension of  $-d\zeta_U$  from  $U$  to  $M$  and of  $d\zeta_V$  from  $V$  to  $M$ .
4. Since  $(-d\zeta_U, d\zeta_V)$  is exact and  $i$  is a chain map and injective,  $\alpha$  is closed.

Often the dimension of the cohomology groups alone gives a lot of information about the manifold. The following Lemma gives a restriction:

**Lemma 1.** *Let*

$$0 \xrightarrow{d_{-1}} A^0 \xrightarrow{d_0} A^1 \xrightarrow{d_1} \dots \xrightarrow{d_{m-1}} A^m \xrightarrow{d_m} 0 \quad (22)$$

*be a long exact sequence with  $\dim A^k < \infty$  for every  $k \in \mathbb{Z}$ . Then*

$$\sum_{k=0}^m (-1)^k \dim A^k = 0. \quad (23)$$

*Proof.* We use the rank-nullity theorem  $\dim A^k = \dim \ker d_k + \dim \operatorname{im} d_k$  and the exactness of the sequence  $\operatorname{im} d_k = \ker d_{k+1}$  to compute



$$\begin{aligned}
\sum_{k=0}^m (-1)^k \dim A^k &= \sum_{k=0}^m (-1)^k (\dim \ker d_k + \dim \operatorname{im} d_k) \\
&= \sum_{k=0}^{m-1} (-1)^k (\dim \ker d_k + \dim \ker d_{k+1}) + (-1)^m \dim A^m \\
&= \dim \ker d_0 + (-1)^{m-1} \dim \ker d_m + (-1)^m \dim A^m = 0
\end{aligned}$$

Since  $d_0$  is injective, the first term is 0 and since  $(-1)^{m-1} \dim \ker d_m + (-1)^m \dim A^m$  the second two terms vanish.  $\square$

*Remark.* The above Lemma 1 can be slightly weakened in that the assumption of  $\dim A^k < \infty$  can be dropped for every third term in the sequence. In that case, one can conclude that those spaces are also finite dimensional. To see this, note that via rank-nullity

$$\dim A^k = \dim \ker d_k + \dim \operatorname{im} d_k \quad (24)$$

$$= \dim \operatorname{im} d_{k-1} + \dim \ker d_{k+1} \quad (25)$$

$$\leq \dim A^{k-1} + \dim A^{k+1} < \infty. \quad (26)$$

In particular, in the setting of the Mayer-Vietoris sequence, this implies that if  $U$ ,  $V$  and  $U \cap V$  have finite dimensional deRham cohomology, then so does  $M$ .

**Proposition 3.** *In the situation as above, if  $U$ ,  $V$ , and  $U \cap V$  are connected, then*

(i) *the sequence*

$$0 \rightarrow H^0(M) \xrightarrow{i} H^0(U) \oplus H^0(V) \xrightarrow{j} H^0(U \cap V) \rightarrow 0 \quad (27)$$

*is short exact and  $M$  is connected.*

(ii) *the long exact sequence*

$$0 \rightarrow H^1(M) \xrightarrow{i} H^1(U) \oplus H^1(V) \xrightarrow{j} H^1(U \cap V) \rightarrow \dots \quad (28)$$

*is also exact.*

*Proof.* (i) By the exactness of the Mayer-Vietoris sequence, we only need to show that  $j^*$  is surjective. To see this, recall that  $H^0(U) \oplus H^0(V)$  and  $H^0(U \cap V)$  consists of pairs of functions, each constant on  $U$ ,  $V$ , and  $U \cap V$ , respectively, and  $j$  assigns to any pair the difference of the restrictions to  $U \cap V$ . Thus, any constant function on  $U \cap V$  with value  $a \in \mathbb{R}$  is the image of  $(a, 0)$  under the map  $j^*$ .

From Lemma 1 and the exactness of (27) we get  $\dim H^0(M) - 2 + 1 = 0$ , thus  $\dim H^0(M) = 1$  and hence that  $M$  is connected. A point-set-topological proof is of course also possible.

(ii) By (i), the map  $\delta^* : H^0(U \cap V) \rightarrow H^1(M)$  is the zero map. Hence  $H^0(U \cap V)$  may be replaced by the zero map.  $\square$

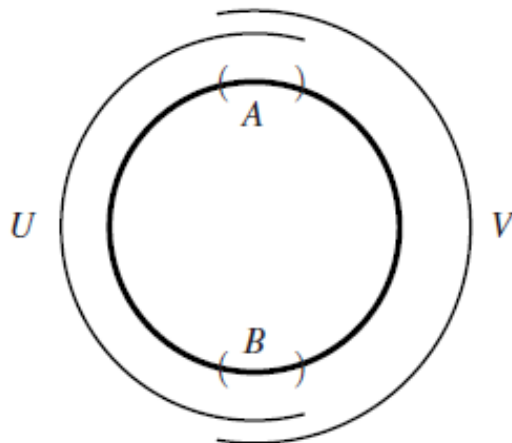


Figure 2: Covering of  $\mathbb{S}^1$ . Fig. 26.2 [TuMf].

## 2. Computations

### 2.1. Cohomology of $\mathbb{S}^1$

Using homotopy invariance of de Rham cohomology we note that  $U \amalg V \simeq \mathbb{R} \amalg \mathbb{R}$  and  $U \cap V \simeq \mathbb{R} \amalg \mathbb{R}$ . Hence the second and third column are determined. For  $H^0(\mathbb{S}^1) = 0$ , recall that the dimension of  $H^0(M)$  equals the number of connected components of  $M$ , which in this case is 1.

Thus we are left with the following table of cohomology groups:

	$\mathbb{S}^1$	$U \amalg V$	$U \cap V$
$H^1$	$H^1(\mathbb{S}^1)$	0	0
$H^0$	$\mathbb{R}$	$\mathbb{R} \oplus \mathbb{R}$	$\mathbb{R} \oplus \mathbb{R}$

By Mayer-Vietoris we have the following exact sequence:

$$0 \rightarrow \mathbb{R} \xrightarrow{i^*} \mathbb{R} \oplus \mathbb{R} \xrightarrow{j^*} \mathbb{R} \oplus \mathbb{R} \xrightarrow{\delta^*} H^1(\mathbb{S}^1) \rightarrow 0 \quad (29)$$

Using Lemma 1, we obtain from (29)

$$1 - 2 + 2 - \dim H^1(\mathbb{S}^1) = 0 \quad (30)$$

and thus  $\dim H^1(\mathbb{S}^1) \cong \mathbb{R}$ .

In order to identify a generator of  $H^1(\mathbb{S}^1)$ , recall from the last talk that for a closed, orientable manifold, the volume form gives a closed, but not exact form of degree  $\dim(M)$ . Hence in this case,  $H^1(\mathbb{S}^1)$  is generated by  $\theta$ .

Another way of identifying a generator of  $H^1(\mathbb{S}^1)$  is to compute it explicitly: since (29) is exact at  $H^1(\mathbb{S}^1)$  we have  $H^1(\mathbb{S}^1) = \text{im } \delta^*$ .

Consider the cohomology class  $[f] \in H^0(U \cap V)$ , which is represented by the smooth function  $f \in C^\infty(\mathbb{S}^1)$  which is 1 on the connected component containing

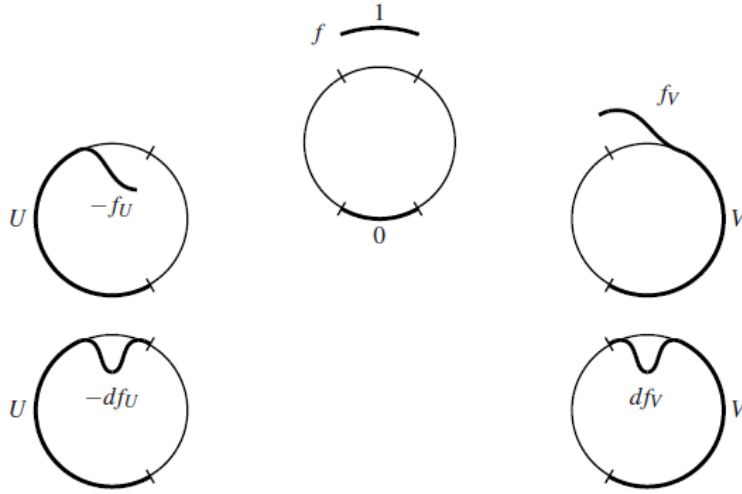


Figure 3: Connecting homomorphism  $\delta^* : H^0(U \cap V) \rightarrow H^1(\mathbb{S}^1)$ . Fig. 26.3 [TuMf].

the north pole and 0 on the connected component containing the south pole. Then apply  $\delta^* : i^{-1} \circ d \circ j^{-1}$ .

Firstly,  $j^{-1}(f) = (-f_U, f_V)$  gives a function on  $U$  and  $V$ , respectively, which is an extension of  $-\rho_V f$  from  $U \cap V$  to  $U$  and  $\rho_U f$  from  $U \cap V$  to  $V$ , respectively. Applying  $d$  gives two bump functions, each supported on the connected component containing the north pole, and coinciding on all of  $U \cap V$ . Applying  $i^{-1}$  gives a smooth one form on  $\mathbb{S}^1$ , whose restriction to  $U$  and  $V$  is  $-df_U$  and  $df_V$  on  $U$  and  $V$  respectively, and is thus only has support in the connected component of  $U \cap V$  containing the north pole.

## 2.2. Cohomology of $\mathbb{S}^n$ , $n \geq 2$

**Theorem 2.** *Let  $n \geq 1$ . Then*

$$H^k(\mathbb{S}^n) = \begin{cases} \mathbb{R} & k = 0, n \\ 0 & \text{else.} \end{cases} \quad (31)$$

*Proof.*  $n = 1$ : see subsection 2.1

$n \Rightarrow n + 1$ : Assume the claim holds for  $\mathbb{S}^n$ . There exists an open covering of  $\mathbb{S}^{n+1}$  by two discs  $\mathbb{D}^n$  of dimension  $n$  (these are the two standard charts obtained by stereographic projection from the north and from the south pole). The intersection of the two is homeomorphic to  $\mathbb{S}^n$ . Thus, the Mayer-Vietoris sequence, the induction hypothesis, and the fact that the dimension of  $H^0(\mathbb{S}^n)$  equals the number of connected components, give that the following is an exact sequence.

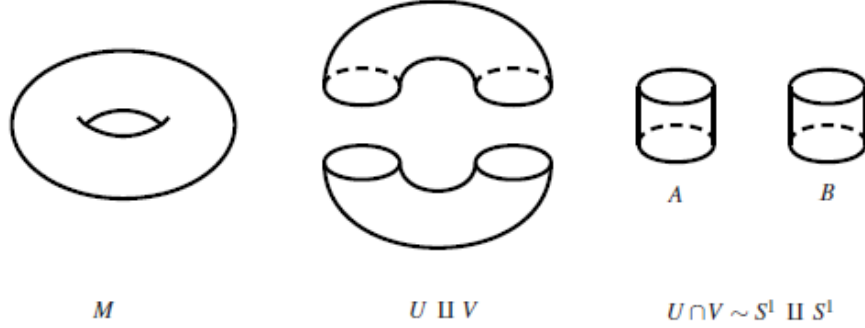


Figure 4: Covering of the torus. Fig. 28.1. [TuMf].

	$\mathbb{S}^{n+1}$	$\mathbb{D}^{n+1} \amalg \mathbb{D}^{n+1}$	$\mathbb{S}^n$
$H^{n+1}$	$H^{n+1}(\mathbb{S}^{n+1})$	0	0
$H^n$	$H^n(\mathbb{S}^{n+1})$	0	$\mathbb{R}$
$H^{n-1}$	$H^{n-1}(\mathbb{S}^{n+1})$	0	0
$\vdots$	$\vdots$	$\vdots$	$\vdots$
$H^1$	$H^1(\mathbb{S}^{n+1})$	0	0
$H^0$	$\mathbb{R}$	$\mathbb{R} \oplus \mathbb{R}$	$\mathbb{R}$

In particular, this gives that

$$0 \rightarrow \mathbb{R} \rightarrow H^{n+1}(\mathbb{S}^{n+1}) \rightarrow 0 \quad (32)$$

and

$$0 \rightarrow 0 \rightarrow H^k(\mathbb{S}^{n+1}) \rightarrow 0, \quad 2 \leq k \leq n-1 \quad (33)$$

are exact and hence  $H^{n+1}(\mathbb{S}^{n+1}) \cong \mathbb{R}$  and  $H^k(\mathbb{S}^{n+1}) = 0$ . Furthermore, Lemma 1 shows that  $H^1(\mathbb{S}^{n+1}) = 0$ .  $\square$

### 2.3. Cohomology vector space of the Torus

Choose a covering of the torus as follows:

Then  $A$  and  $B$  have the homotopy type of  $\mathbb{S}^1$  and thus their cohomology is isomorphic. This gives

	$\mathbb{S}^1$	$U \amalg V$	$U \cap V$
$H^2$	$H^2(\mathbb{S}^1)$	0	0
$H^1$	$H^1(\mathbb{S}^1)$	$\mathbb{R} \oplus \mathbb{R}$	$\mathbb{R} \oplus \mathbb{R}$
$H^0$	$\mathbb{R}$	$\mathbb{R} \oplus \mathbb{R}$	$\mathbb{R} \oplus \mathbb{R}$

Now, Lemma 1 gives

$$1-2+2-\dim H^1(M)+2-2+\dim H^2(M) = 0 \Rightarrow \dim H^1(M) = \dim H^2(M)+1, \quad (34)$$

and furthermore the exactness of the sequence gives

$$H^2(M) = \text{im } \delta_1^* \cong (\mathbb{R} \oplus \mathbb{R}) / \ker \delta_1^* \cong (\mathbb{R} \oplus \mathbb{R}) / \text{im } j^*. \quad (35)$$

Thus the computation boils down to understanding the image of  $j^*$ . Recall that  $j^*$  is defined by

$$j^*(\omega, \eta) = (j_U^* \omega)|_{U \cap V} - (j_V^* \eta)|_{U \cap V} \quad (36)$$

where  $j_U^* \omega$  and  $j_V^* \eta$  are restrictions of  $\omega$  and  $\eta$  from  $U$ , resp.  $V$ , to  $U \cap V$ . In our case, observe that

$$j^*(\theta_A, \theta_B) = (\theta_A - \theta_B, \theta_A - \theta_B), \quad (37)$$

which gives  $\text{im } j^* \cong \mathbb{R}$ . Thus  $H^2(M) \cong \mathbb{R}$  and hence  $H^1(M) \cong \mathbb{R} \oplus \mathbb{R}$ .

## 2.4. Cohomology ring of the Torus

In order to obtain more refined statements about the cohomology of the torus, we use the fact that one can obtain  $T^2$  as a quotient of  $\mathbb{R}^2$  (on which one knows the cohomology well). In particular, with  $\Lambda := \mathbb{Z}^2$ , we have

$$T^2 = \mathbb{R}^2 / \Lambda. \quad (38)$$

Note that since  $\pi : \mathbb{R}^2 \rightarrow T^2$  is the quotient of a smooth manifold by the smooth, proper, and free action of a discrete Lie Group  $\Lambda$ , the map  $\pi$  is a normal covering and in particular is a local diffeomorphism.

For a  $\lambda \in \Lambda$  define the translation function  $l_\lambda : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  via  $l_\lambda(q) = q + \lambda$ . Then we have the following Proposition.

**Proposition 4.** *The pullback  $\pi^* : \Omega(T^2) \rightarrow \Omega(\mathbb{R}^2)$ , induced by the projection  $\pi : \mathbb{R}^2 \rightarrow T^2$ , is injective and*

$$\pi^*(\Omega^*(T^2)) = \{\omega \in \Omega^*(\mathbb{R}^2) : l_\lambda^*(\omega) = \omega, \forall \lambda \in \Lambda\}. \quad (39)$$

*Proof.* More generally, let  $\pi : M \rightarrow N$  be a surjective submersion i.e. a smooth function s.t.  $\pi_* : T_p M \rightarrow T_{\pi(p)} N$  is a surjection for every  $p \in M$ . Let  $\omega \in \Omega^k(N)$  s.t.  $\pi^*(\omega) = 0 \in \Omega^k(M)$ , let  $w_1, \dots, w_k \in T_q N$  be arbitrary, choose a point  $p \in \pi^{-1}q \subseteq M$  and define  $v_i := \pi_*^{-1}(w_i)$ . Then we have

$$\omega(w_1, \dots, w_k) = \omega(\pi_*(v_1), \dots, \pi_*(v_k)) = \pi^*(\omega)(v_1, \dots, v_k) = 0, \quad (40)$$

i.e.  $\omega = 0$ .

For the "⊆" -inclusion of the characterization of the image of  $\pi^*$ , note that for any  $\lambda \in \Lambda$  and any  $q \in \mathbb{R}^2$  we have

$$(\pi \circ l_\lambda)(q) = \pi(q + \lambda) = \pi(q), \quad (41)$$

i.e.  $\pi \circ l_\lambda = \pi$  and thus by functoriality  $\pi^* = l_\lambda^* \circ \pi^*$ , showing that for any  $\omega \in \Omega(T^2)$

$$\pi^* \omega = l_\lambda^* \circ \pi^* \omega. \quad (42)$$

For the " $\supseteq$ "-inclusion, assume that  $\bar{\omega} \in \Omega^k(\mathbb{R}^2)$  is invariant under  $l_\lambda^*$  for any  $\lambda \in \Lambda$ . For any  $p \in T^2$  and  $v_1, \dots, v_k \in T_p T^2$ , define

$$\omega_p(v_1, \dots, v_k) := \bar{\omega}_{\bar{p}}(\bar{v}_1, \dots, \bar{v}_k) \quad (43)$$

for a choice of  $\bar{p} \in \pi^{-1}(p)$  and  $\bar{v}_1, \dots, \bar{v}_k \in T_{\bar{p}} \mathbb{R}^2$  s.t.  $\pi_* \bar{v}_i = v_i$ . Note that if  $\bar{p}$  is chosen, there is a unique choice for  $\bar{v}_1, \dots, \bar{v}_k$  since  $\pi_*$  is an isomorphism on each tangent space. Hence, in order to show well definedness of  $\omega$ , we only need to show independence of the choice of  $\bar{p}$ . To do this, let  $\tilde{p} = \bar{p} + \lambda$ ,  $\lambda \in \Lambda$  be another point in  $\pi^{-1}(p)$ . By the invariance under  $l_\lambda^*$  we have

$$\bar{\omega}_{\tilde{p}} = (l_\lambda^* \bar{\omega})_{\tilde{p}} = l_\lambda^*(\bar{\omega}_{\bar{p} + \lambda}). \quad (44)$$

and thus

$$\bar{\omega}_{\tilde{p}}(\bar{v}_1, \dots, \bar{v}_k) = l_\lambda^*(\bar{\omega}_{\bar{p} + \lambda})(\bar{v}_1, \dots, \bar{v}_k) \quad (45)$$

$$= \bar{\omega}_{\bar{p} + \lambda}(l_{\lambda*} \bar{v}_1, \dots, l_{\lambda*} \bar{v}_k). \quad (46)$$

Since  $\pi \circ l_\lambda = \pi$  we have  $\pi_* \circ l_{\lambda*} = \pi_*$  and hence (46) shows that  $\omega_p$  is independent of the choice of  $\bar{p}$ . Finally, by definition of  $\omega$  we have

$$\bar{\omega}_{\bar{p}}(\bar{v}_1, \dots, \bar{v}_k) = \omega_{\pi(\bar{p})}(\pi_* \bar{v}_1, \dots, \pi_* \bar{v}_k) = (\pi^* \omega)_{\bar{p}}(\bar{v}_1, \dots, \bar{v}_k). \quad (47)$$

Hence,  $\bar{\omega} = \pi^* \omega$ .  $\square$

We will now explicitly describe the ring structure on  $H^*(T^2)$ .

Let  $x, y$  be the standard coordinate maps on  $\mathbb{R}^2$ . Then for any  $\lambda \in \Lambda$  we have

$$l_\lambda^*(dx) = d(l_\lambda^* x) = d(x + \lambda) = dx \quad (48)$$

and thus by Proposition 4, both  $dx$  and  $dy$  arise as pullbacks of forms  $\alpha$  and  $\beta$  on  $T^2$ . Furthermore we have

$$\pi^*(d\alpha) = d(\pi^* \alpha) = d(dx) = 0. \quad (49)$$

Since  $\pi^*$  was injective,  $\alpha$  is closed and thus defines a class in  $H^1(T^2)$ .

**Proposition 5.** *The forms  $1, \alpha, \beta, \alpha \wedge \beta$  represent a basis of  $H^*(T^2)$ .*

*Proof.* Let  $I^2 := [0, 1]^2$ , let  $i : I^2 \hookrightarrow \mathbb{R}^2$  be the canonical inclusion and define  $F := \pi \circ i : I^2 \rightarrow T^2$ . Then  $F^* \alpha = i^*(\pi^* \alpha) = i^* dx$  is the restriction of  $dx$  to unit square.

We compute:

$$\int_M \alpha \wedge \beta = \int_{F(I^2)} \alpha \wedge \beta = \int_{I^2} F^*(\alpha \wedge \beta) = \int_{I^2} dx \wedge dy = \int_0^1 \int_0^1 dx dy = 1. \quad (50)$$

Thus, the form  $\alpha \wedge \beta$  represents a non-zero cohomology class, since otherwise by Stokes, the above integral were 0. Since  $\dim H^2(T^2) = 1$  we conclude that

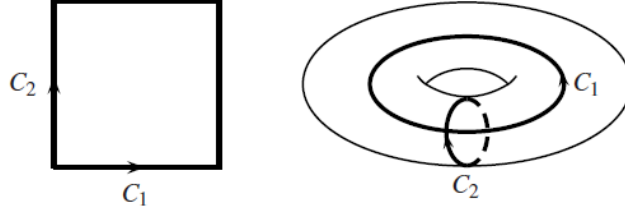


Figure 5: Parametrization and curves on the torus. Fig. 28.2. [TuMf].

$[\alpha \wedge \beta]$  forms a basis of  $H^2(T^2)$ .

In order to see that  $\alpha, \beta$  form a basis of  $H^1(T^2)$ , consider the two maps  $i_1, i_2 : I \rightarrow \mathbb{R}$  given by  $i_1(t) = (t, 0)$  and  $i_2(t) = (0, t)$ . The two curves induce two closed curves  $C_1, C_2$  in  $T^2$  via  $C_k = \pi \circ i_k$ . Furthermore

$$\begin{aligned} C_1^* \alpha &= i_1^* \pi^* \alpha = i_1^* dx = di_1^* x = dt \\ C_1^* \beta &= i_1^* \pi^* \beta = i_1^* dy = di_1^* y = 0 \end{aligned}$$

and thus

$$\begin{aligned} \int_{C_1} \alpha &= \int_{C_1(I)} \alpha = \int_I C_1^* \alpha = \int_0^1 dt = 1 \\ \int_{C_1} \beta &= \int_{C_1(I)} \beta = \int_I C_1^* \beta = \int_0^1 0 = 0 \end{aligned}$$

A similar argument is true for  $C_2$  giving  $\int_{C_2} \beta \neq 0$ . Thus, neither  $\alpha$  nor  $\beta$  is exact on  $T^2$ , and hence both define non-trivial cohomology classes  $[\alpha]$  and  $[\beta]$ . The two classes are linearly independent as otherwise  $\int_{C_2} \alpha \neq 0 \Rightarrow \int_{C_2} \beta = 0$  which is not true.

Since  $T^2$  is connected,  $H^0(T^2)$  is one-dimensional and thus generated by the cohomology class induced by the constant function.  $\square$

In conclusion, the algebra  $H^*(T^2)$  is isomorphic to

$$\bigwedge(a, b) = T(\mathbb{R}x \oplus \mathbb{R}y)/(x^2, y^2, xy + yx), \quad \deg(x) = \deg(y) = 1 \quad (51)$$

where  $T(V)$  is the tensor algebra on the vector space  $V$  and  $\mathbb{R}x \oplus \mathbb{R}y$  is the two dimensional, real vector space generated by  $x$  and  $y$ . This algebra is called the **exterior algebra of degree 1**.



Figure 6: Punctured compact oriented surface  $M$ . Fig. 28.3. [TuMf].

## 2.5. Cohomology of genus $g$ -surfaces

**Lemma 2.** *Let  $M$  be a compact, oriented surface, let  $p \in M$  and let  $i : \mathbb{S}^1 \rightarrow M \setminus \{p\}$  be the inclusion of a small circle around the puncture. Then the restriction map*

$$i^* : H^1(M \setminus \{p\}) \rightarrow H^1(\mathbb{S}^1) \quad (52)$$

*is the zero map.*

*Proof.* Let  $\omega \in \Omega^1(M \setminus \{p\})$  be closed and let  $D \subseteq M$  be an open disc in  $M$  bounded by  $C = i(\mathbb{S}^1)$ . Then

$$\int_{\mathbb{S}^1} i^* \omega = \int_{\partial(M \setminus D)} \omega = \int_{M \setminus D} \underbrace{d\omega}_{=0} = 0 \quad (53)$$

Since  $H^1(\mathbb{S}^1) \cong \mathbb{R}$ , with the isomorphism given by integration, this shows that  $i^*[\omega] = 0$   $\square$

**Proposition 6.** *Let  $T^2$  be a torus and let  $p \in T^2$ . Then  $A := T^2 \setminus \{p\}$  has the following cohomology:*

$$H^k(A) = \begin{cases} \mathbb{R} & , k = 0 \\ \mathbb{R}^2 & , k = 1 \\ 0 & , k \geq 2. \end{cases} \quad (54)$$

*Proof.* Cover  $T^2$  by  $A$  and an open disk  $U$  around  $p$ . Since  $A$ ,  $U$ , and  $U \cap A$  are connected, by Proposition 3, we may start the Mayer-Vietoris sequence with  $H^1(T^2)$ . Using  $A \cap U \simeq \mathbb{S}^1$  and  $U \simeq \{*\}$ , we obtain

	$T^2$	$A \amalg U$	$A \cap U$
$H^2$	$\mathbb{R}$	$H^2(A) \oplus 0$	$0$
$H^1$	$\mathbb{R} \oplus \mathbb{R}$	$H^1(A) \oplus 0$	$\mathbb{R}$

Since  $H^1(U) = 0$ , the difference map  $j^*$  on the level of  $H^1$  is simply the restriction map and by Lemma 2 this restriction is 0. Hence, on the level of  $H^1$ , the morphism  $i^*$  is an isomorphism i.e.

$$H^1(A) \cong \mathbb{R} \oplus \mathbb{R}, \quad (55)$$

and furthermore the following sequence is exact:



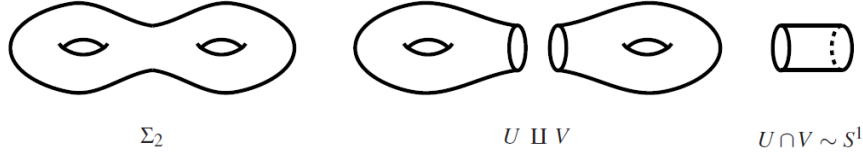


Figure 7: Covering of  $\Sigma_2$ . Fig. 28.4. [TuMf].

$$0 \rightarrow \mathbb{R} \rightarrow \mathbb{R} \rightarrow H^2(A) \rightarrow 0 \quad (56)$$

and thus by dimension counting (i.e. Lemma 1) we conclude  $H^2(A) = 0$ .  $\square$

**Proposition 7.** (cohomology of genus  $g$  surface) *Let  $g \geq 0$  and let  $\Sigma_g$  denote a compact, orientable surface of genus  $g$ . Then*

$$H^k(\Sigma_g) = \begin{cases} \mathbb{R} & , \quad k = 0 \\ \mathbb{R}^{2g} & , \quad k = 1 \\ \mathbb{R} & , \quad k = 2 \\ 0 & , \quad k \geq 3. \end{cases} \quad (57)$$

*Proof.* The proof will proceed via induction. The base case  $\Sigma_0 = \mathbb{S}^2$  is covered by subsection 2.1.

For the induction on  $g$ , assume  $H^*(\Sigma_g)$  according to equation (57). Similarly to Proposition 6, let us first compute the cohomology of the punctured genus  $g$  surface  $A_g := \Sigma_g \setminus \{p\}$ . As for the torus, cover  $\Sigma_g$  by  $A_g$  and a small disc  $U$  around the puncture. Then since the  $A_g$ ,  $U$  and  $A_g \cap U$  are connected, by Proposition 3, we may start the Mayer-Vietoris sequence with  $H^1(\Sigma_g)$ . As with the torus, using that  $U \simeq \{*\}$  and  $A_g \cap U \simeq \mathbb{S}^1$ , we get

$$\begin{array}{c|ccc} & \Sigma_g & A_g \amalg U & A_g \cap U \\ \hline H^2 & \mathbb{R} & H^2(A) \oplus 0 & 0 \\ H^1 & \mathbb{R}^{2g} & H^1(A) \oplus 0 & \mathbb{R} \end{array} .$$

Again,  $j^*$  is simply the restriction, which, by Lemma 2, is the 0-map. Hence we have

$$\mathbb{R}^{2g} \cong H^1(A) \quad (58)$$

and by dimension counting  $H^2(A) = 0$ .

Now, for the computation of  $H^*(\Sigma_{g+1})$ , cover  $\Sigma_{g+1}$  with  $A_g$  and the punctured torus  $A_1$ , with  $A_g \cap A_1$  is homeomorphic to a cylinder (which is in turn homotopy equivalent to  $\mathbb{S}^1$ ). Since  $\Sigma_{g+1}$  is connected,  $H^0(\Sigma_{g+1}) = 0$ . On the one hand, since the map  $H^2(\Sigma_{g+1}) \rightarrow 0$  has as its kernel all of  $H^2(\Sigma_{g+1})$ , the map  $\mathbb{R} \rightarrow H^2(\Sigma_{g+1})$  must be surjective. Hence  $\dim(H^2(\Sigma_{g+1})) \leq 1$ . On the other hand,  $\Sigma_{g+1}$  is an oriented closed manifold, and thus admits a volume form, which, by

Stokes, is not exact. Hence  $\dim(H^2(\Sigma_{g+1})) \geq 1$  and thus  $\dim(H^2(\Sigma_{g+1})) = 1$ . Finally, dimension counting via Proposition 1 gives  $\dim(H^1(\Sigma_{g+1})) = 2(g + 1)$ .  $\square$

### 3. Some classical applications

We want to show

**Theorem 3.** (*Jordan-Brouwer separation*) *Let  $n \geq 2$  and  $\Sigma \subseteq \mathbb{R}^n$  be homeomorphic to  $\mathbb{S}^{n-1}$ . Then*

1.  $\mathbb{R}^2 \setminus \Sigma$  has exactly 2 connected components,  $U_1$  and  $U_2$ , one of which being bounded and one of which being unbounded,
2.  $\Sigma$  is the boundary of both  $U_1$  and  $U_2$ .

We say that  $U_1$  is the domain **inside**  $\Sigma$  and  $U_2$  is the domain **outside**  $\Sigma$ .

Before proving this, we need a couple of Lemmas though. Firstly, recall the Tietze extension theorem:

**Theorem 4.** (*Tietze Extension*) *Let  $A \subseteq \mathbb{R}^n$  be closed and let  $f : A \rightarrow \mathbb{R}^m$  be continuous, then there exists a continuous function  $\tilde{f} : \mathbb{R}^n \rightarrow \mathbb{R}^m$  s.t.  $\tilde{f}|_A = f$ .*

*Remark.* The theorem is usually stated more generally with  $\mathbb{R}^n$  replaced by an arbitrary normal topological space  $X$  and is actually equivalent to the normality of  $X$ .

**Lemma 3.** *Let  $A \subseteq \mathbb{R}^n$  and  $B \subseteq \mathbb{R}^m$  be closed sets and let  $\phi : A \rightarrow B$  be a homeomorphism. Then there is a homeomorphism  $h : \mathbb{R}^{n+m} \rightarrow \mathbb{R}^{n+m}$  s.t. for every  $x \in A$*

$$h(x, 0_m) = (0_n, \phi(x)). \quad (59)$$

where  $0_k$  is the 0 in the first  $k$  components.

*Proof.* By the Tietze extension theorem 4 one can extend  $\phi$  to a continuous function  $\tilde{\phi} : \mathbb{R}^n \rightarrow \mathbb{R}^m$ . Define firstly a homeomorphism  $h_1 : \mathbb{R}^n \times \mathbb{R}^m \rightarrow \mathbb{R}^n \times \mathbb{R}^m$  by

$$h_1(x, y) = (x, y + \tilde{\phi}(x)). \quad (60)$$

Analogously, one can extend  $\psi := \phi^{-1}$  to a continuous function  $\tilde{\psi} : \mathbb{R}^m \rightarrow \mathbb{R}^n$  and define  $h_2 : \mathbb{R}^n \times \mathbb{R}^m \rightarrow \mathbb{R}^n \times \mathbb{R}^m$  via

$$h_2(x, y) = (x + \tilde{\psi}(y), y). \quad (61)$$

Define  $h := h_2^{-1} \circ h_1$ . Then for every  $x \in A$  we have

$$h(x, 0_m) = h_2^{-1}(h_1(x, 0_m)) = h_2^{-1}(x, \tilde{\phi}(x)) \quad (62)$$

$$= (x - \tilde{\psi}(\tilde{\phi}(x)), \tilde{\phi}(x)) = (x - \psi(\phi(x)), \phi(x)) \quad (63)$$

$$= (x - x, \phi(x)) = (0, \phi(x)). \quad (64)$$

$\square$

**Corollary 1.** Any homeomorphism  $\phi : A \rightarrow B$  between closed sets  $A, B \subseteq \mathbb{R}^n$  can be extended to a homeomorphism  $\tilde{\phi} : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$ .

*Proof.* Compose the homeomorphism  $h$  from Lemma 3 with the homeomorphism which exchanges the first  $n$  components with the second  $n$ .  $\square$

*Remark.* Note that by restricting  $\tilde{\phi}$  to  $\mathbb{R}^{2n} \setminus A$  we obtain a homeomorphism  $\mathbb{R}^{2n} \setminus A \rightarrow \mathbb{R}^{2n} \setminus B$ . But note that this does **not** imply, and it is generally false, that  $\mathbb{R}^n \setminus A \rightarrow \mathbb{R}^n \setminus B$  are homeomorphic. In fact, this would contradict the existence of the Alexander horned sphere  $\Sigma$  in  $\mathbb{R}^3$ : even though  $\Sigma$  is homeomorphic to  $\mathbb{S}^2$ , its complement,  $\mathbb{R}^3 \setminus \Sigma$ , is not homeomorphic to  $\mathbb{R}^3 \setminus \mathbb{S}^2$ , as the former is not simply connected. However, the abelianization of  $\pi_1(\mathbb{R}^3 \setminus \Sigma)$  is 0, which is why the following theorem does not pose a contradiction.

**Proposition 8.** Let  $A \subsetneq \mathbb{R}^n$  be closed. Then we have

$$\begin{aligned} H^{p+1}(\mathbb{R}^{n+1} \setminus A) &\cong H^p(\mathbb{R}^n \setminus A), \quad p \geq 1, \\ H^1(\mathbb{R}^{n+1} \setminus A) &\cong H^0(\mathbb{R}^n \setminus A) / \mathbb{R} \cdot 1 \\ H^0(\mathbb{R}^{n+1} \setminus A) &\cong \mathbb{R} \cdot 1. \end{aligned}$$

*Proof.* Identify  $\mathbb{R}^{n+1} = \mathbb{R}^n \times \mathbb{R}$  and define the following two sets

$$\begin{aligned} U_1 &:= \mathbb{R}^n \times (0, \infty) \cup (\mathbb{R}^n \setminus A) \times (-1, \infty) \\ U_2 &:= \mathbb{R}^n \times (-\infty, 0) \cup (\mathbb{R}^n \setminus A) \times (-\infty, 1) \end{aligned}$$

Then we have  $U_1 \cup U_2 = \mathbb{R}^{n+1} \setminus A$  and  $U_1 \cap U_2 = (\mathbb{R}^n \setminus A) \times (-1, 1)$ . Define by  $\phi(x_1, \dots, x_{n+1}) = (x_1, \dots, x_n, x_{n+1} + 1)$ . Then for every  $x \in U_1$ , the set  $U_1$  contains a line segment from  $x$  to  $\phi(x)$  and from  $\phi(x)$  to a point  $p \in \mathbb{R}^n \times (0, \infty)$ . Maybe draw a picture with  $n = 1$  to convince yourself of that. Hence  $U_1$  is contractible (to the point  $p$ ). Analogously,  $U_2$  is contractible.

Note that  $\mathbb{R}^n \setminus A$  deformation retracts to  $U_1 \cap U_2$  and hence their cohomology is isomorphic. By the Mayer-Vietoris sequence we obtain an isomorphism via the connecting homomorphism

$$\delta^* : H^p(U_1 \cap U_2) \rightarrow H^{p+1}(\mathbb{R}^{n+1} \setminus A) \quad (65)$$

for  $p \geq 1$ . For the second isomorphism consider the following exact sequence, obtained via Mayer-Vietoris:

$$\begin{array}{ccccccc} H^1(\mathbb{R}^{n+1} \setminus A) & \longrightarrow & 0 & & & & \\ & & & \swarrow \delta^* & & & \\ 0 & \longrightarrow & H^0(\mathbb{R}^{n+1} \setminus A) & \xrightarrow{i^*} & H^0(U_1) \oplus H^0(U_2) & \xrightarrow{j^*} & H^0(U_1 \cap U_2) \end{array}$$

Elements in  $H^0(U_1) \oplus H^0(U_2)$  are given by pairs of constant functions on  $U_1$  and  $U_2$  with values  $a_1$  and  $a_2$ . The image of  $(a_1, a_2)$  is thus the constant function on  $U_1 \cap U_2$  with value  $a_1 - a_2$ . Thus by the exactness of the Mayer-Vietoris sequence

$$\ker \delta^* = \text{im } j^* = \mathbb{R} \cdot 1, \quad (66)$$

where 1 is the constant function on  $U_1 \cap U_2$  with value 1. Thus we obtain

$$H^1(\mathbb{R}^{n+1} \setminus A) \cong H^0(U_1 \cap U_2) / \ker \delta^* \cong H^0(\mathbb{R}^n \setminus A) / \mathbb{R} \cdot 1. \quad (67)$$

We also have by the above Mayer-Vietoris sequence and its exactness

$$\dim H^0(\mathbb{R}^{n+1} \setminus A) = \dim(\text{im } i^*) = \dim(\ker j^*) = 1 \quad (68)$$

and thus  $H^0(\mathbb{R}^{n+1} \setminus A) \cong \mathbb{R}$ .  $\square$

**Theorem 5.** *Let  $A, B \subsetneq \mathbb{R}^n$  be closed subsets s.t.  $A$  and  $B$  are homeomorphic. Then*

$$H^p(\mathbb{R}^n \setminus A) \cong H^p(\mathbb{R}^n \setminus B), \quad p \geq 0. \quad (69)$$

*Proof.* Applying Proposition 8  $m \geq 1$  times yields

$$H^{p+m}(\mathbb{R}^{n+m} \setminus A) \cong H^p(\mathbb{R}^n \setminus A) \quad (70)$$

$$H^m(\mathbb{R}^{n+m} \setminus A) \cong H^0(\mathbb{R}^n \setminus A) / \mathbb{R} \cdot 1. \quad (71)$$

The same is true for  $B$ . By corollary 1 we know that  $\mathbb{R}^{2n} \setminus A$  and  $\mathbb{R}^{2n} \setminus B$  are homeomorphic and thus have the same de Rham cohomology. Thus

$$H^p(\mathbb{R}^n \setminus A) \cong H^{p+n}(\mathbb{R}^{2n} \setminus A) \cong H^{p+n}(\mathbb{R}^{2n} \setminus B) \cong H^p(\mathbb{R}^n \setminus B), \quad p \geq 1. \quad (72)$$

and

$$H^0(\mathbb{R}^n \setminus A) / \mathbb{R} \cdot 1 \cong H^n(\mathbb{R}^{2n} \setminus A) \cong H^n(\mathbb{R}^{2n} \setminus B) \cong H^0(\mathbb{R}^n \setminus B) / \mathbb{R} \cdot 1. \quad (73)$$

$\square$

**Corollary 2.** *Let  $A, B$  be two closed homeomorphic subsets of  $\mathbb{R}^n$ . Then  $\mathbb{R}^n \setminus A$  and  $\mathbb{R}^n \setminus B$  have the same number of connected components.*

*Proof.* If  $A = B = \mathbb{R}^n$  this is clear. If  $A \neq \mathbb{R}^n$  and  $B \neq \mathbb{R}^n$ , this follows from theorem 5. If  $A = \mathbb{R}^n$  but  $B \neq \mathbb{R}^n$ , then considering  $A$  and  $B$  as closed subsets of  $\mathbb{R}^{n+1}$  and applying theorem 5 again yields

$$2 = \dim H^0(\mathbb{R}^{n+1} \setminus A) = \dim H^0(\mathbb{R}^{n+1} \setminus B) = 1 \quad (74)$$

a contradiction. Hence  $A$  and  $B$  cannot be homeomorphic to begin with.  $\square$

Now let us turn to the proof of the Jordan-Brouwer separation theorem 3:

*Proof.* (i) Since  $\mathbb{S}^{n-1}$  is compact, so is  $\Sigma$  and thus  $\Sigma$  is closed in  $\mathbb{R}^n$ . Since  $\mathbb{S}^{n-1}$  separates  $\mathbb{R}^n$  into the two connected components

$$\text{int}(\mathbb{D}^n) = \{x \in \mathbb{R}^n : \|x\| < 1\} \quad \text{and} \quad W := \{x \in \mathbb{R}^n : \|x\| > 1\} \quad (75)$$

by corollary 2,  $\mathbb{R}^n \setminus \Sigma$  also has two connected components. Furthermore, with  $r := \max_{x \in \Sigma} \|x\|$ , the connected set  $r \cdot W$  is contained in one of the two connected components  $U_2$  of  $\mathbb{R}^n \setminus \Sigma$ , which is thus unbounded. Hence for the other component,  $U_1$ , we have

$$U_1 \subseteq \mathbb{R}^n \setminus U_2 = \{x \in \mathbb{R}^n : \|x\| \leq r\}. \quad (76)$$

Thus  $U_1$  is bounded.

(ii) Let  $p \in \Sigma$  and let  $V \subseteq \mathbb{R}^n$  be an arbitrary open neighborhood of  $p$ . Then the set  $A := \Sigma \setminus (\Sigma \cap V)$  is closed in  $\Sigma$  and homeomorphically mapped to a proper, closed subset  $B$  of  $\mathbb{S}^{n-1}$ . Since  $\mathbb{S}^{n-1}$  is closed in  $\mathbb{R}^n$ , the set  $B = \mathbb{S}^{n-1} \cap B$  is closed in  $\mathbb{R}^n$ . Furthermore, since  $B$  is a proper subset of  $\mathbb{S}^{n-1}$  we see that  $\mathbb{R}^n \setminus B$  is connected, and thus by corollary 2 so is  $\mathbb{R}^n \setminus A$ . Since  $\mathbb{R}^n \setminus A$  is an open subset of  $\mathbb{R}^n$  and connected, it is path-connected. Hence for any  $p_1 \in U_1$  and  $p_2 \in U_2$  one can find a continuous curve  $\gamma : [0, 1] \rightarrow \mathbb{R}^n \setminus A$  s.t.  $\gamma(0) = p_1$  and  $\gamma(1) = p_2$ . By (i), the curve  $\gamma$  (now considered as a curve into  $\mathbb{R}^n$ ) has to intersect  $\Sigma$ , since otherwise  $U_1$  and  $U_2$  would lie in a common path component. The set  $\gamma^{-1}(\Sigma) \subseteq [0, 1]$  is closed, hence compact, and hence contains  $c_1 = \min \gamma^{-1}(\Sigma)$  and  $c_2 = \max \gamma^{-1}(\Sigma)$ , both of which lie in  $(0, 1)$  since  $p_1, p_2 \notin \Sigma$ . Hence

$$\gamma(c_1) \in \Sigma \cap V \quad \text{and} \quad \gamma(c_2) \in \Sigma \cap V \quad (77)$$

but also

$$\gamma([0, c_1]) \subseteq U_1 \quad \text{and} \quad \gamma((c_2, 1]) \subseteq U_2. \quad (78)$$

Hence there exist  $t_1 \in [0, c_1]$  and  $t_2 \in (c_2, 1]$  s.t.

$$\gamma(t_1) \subseteq U_1 \cap V \quad \text{and} \quad \gamma(t_2) \subseteq U_2 \cap V. \quad (79)$$

showing that  $p$  is indeed a boundary point of  $U_1$  and also of  $U_2$ . In order to see that all boundary points of  $U_1$  have to be contained in  $\Sigma$ , note that since  $\mathbb{R}^n \setminus \Sigma$  is an open subset of  $\mathbb{R}^n$ , all of its connected components are open. Hence for any  $p \in U_2$  there is a neighborhood  $V$  of  $p$ , which is disjoint from  $U_1$ . The same argument holds for  $U_2$ .  $\square$

**Theorem 6.** *Let  $A \subseteq \mathbb{R}^n$  be homeomorphic to the closed  $k$ -disk  $\mathbb{D}^k$  with  $k \leq n$ . Then  $\mathbb{R}^n \setminus A$  is connected.*

*Proof.* Since  $A$  is homeomorphic to  $\mathbb{D}^k$ , it is compact and thus closed in  $\mathbb{R}^k \subset \mathbb{R}^n$ . Hence by corollary 2 the number of connected components of  $\mathbb{R}^n \setminus A$  coincides with that of  $\mathbb{R}^n \setminus \mathbb{D}^k$ , which is 1.  $\square$

**Theorem 7.** *(Brouwer) Let  $U \subseteq \mathbb{R}^n$  be open and let  $f : U \rightarrow \mathbb{R}^n$  be continuous and injective. Then  $f(U) \subseteq \mathbb{R}^n$  is open and  $f : U \rightarrow f(U)$  is a homeomorphism.*

*Proof.* Since  $U$  is open in  $\mathbb{R}^n$ , it is a union of open balls  $B(r, x)$  around points  $x \in U$ . Hence, since images preserve unions, it is sufficient to show that the images  $f(B(r, x))$  are open. Let  $r > 0$  and  $x \in U$  be arbitrary s.t.  $B(r, x) \subseteq U$  and write  $D := \overline{B(r, x)}$ ,  $S := \partial D$  and  $\dot{D} := \text{int}(D) = B(r, x)$ . Then since  $S$  is compact and  $\mathbb{R}^n$  is Hausdorff,  $\Sigma := f(S)$  is homeomorphic to  $S$ , which is homeomorphic to  $S^{n-1}$ . Thus by theorem 3, the subspace  $\mathbb{R}^n \setminus \Sigma$  has two connected components,  $U_1$  (which is bounded) and  $U_2$  (which is unbounded); since  $\mathbb{R}^n \setminus \Sigma$  is open, so are  $U_1$  and  $U_2$ . By theorem 6, the subspace  $\mathbb{R}^n \setminus f(D)$  is connected, and since it is disjoint from  $\Sigma$ , it must be contained in either  $U_1$  or  $U_2$ . Since  $f(D)$  is compact, the subspace  $\mathbb{R}^n \setminus f(D)$  is unbounded and thus must be contained in  $U_2$ . Hence  $\Sigma \cup U_1 = \mathbb{R}^n \setminus U_1 \subseteq f(D)$ . Hence  $U_1 \subseteq f(\dot{D})$ . Since  $\dot{D}$  is connected and thus  $f(\dot{D})$  is also connected, and furthermore  $f(\dot{D}) \subseteq U_1 \cup U_2$  we conclude that  $f(\dot{D}) \subseteq U_1$  since otherwise  $U_1 \subseteq U_2$ . Thus  $U_1 = f(\dot{D})$ , which is open.

Let  $W \subseteq U$  be an open subset. Then by restricting  $f$  to  $W$  and applying the same argument as above we see that  $f(W)$  is also open. Hence  $f$  is a continuous, open bijection i.e. a homeomorphism.  $\square$

**Corollary 3.** (*Invariance of Domain*) Let  $A \subseteq \mathbb{R}^n$  have the subspace topology induced by  $\mathbb{R}^n$  and be homeomorphic to an open subset  $U$  of  $\mathbb{R}^n$ . Then  $A$  is open in  $\mathbb{R}^n$ .

*Proof.* Follows by applying Theorem 7 to  $U$ .  $\square$

**Corollary 4.** (*Invariance of Dimension*) Let  $U \subseteq \mathbb{R}^n$  and  $V \subseteq \mathbb{R}^m$  be non-empty open subsets. If  $U$  and  $V$  are homeomorphic, then  $n = m$ .

*Proof.* Assume that  $m < n$  and consider  $V$  as a (not necessarily open) subset of  $\mathbb{R}^n$  via  $V \subseteq \mathbb{R}^m \subseteq \mathbb{R}^n$  and topology induced by  $\mathbb{R}^n$  (or equivalently  $\mathbb{R}^m$ ). Since  $V$  is homeomorphic to  $U$  by assumption, corollary 3 implies that  $V$  is open an open subset of  $\mathbb{R}^n$ . This is a contradiction since  $V$  is contained in a proper linear subspace of  $\mathbb{R}^n$ .  $\square$

## References

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